# Making a good use of citizens in policy making and evaluation

Giovanni Moro Oecd-Mena conference Rabat, 24<sup>th</sup> March 2010



# Preliminary remarks

- Focus on the "citizens' side", not on the governments'
- Content reflecting European and in general Northern countries' situations
- Active citizenship: autonomous citizens' organizations engaged in public policy making with the aim of protecting rights, caring for common goods and empowering weak people, differing from political parties, trade unions and private-purpose associations
- For example: voluntary orgs, consumer and environmental movements, self-help groups, international cooperation NGOs, local and community-based groups, etc.
- In the EU territory: about 1 million orgs.
- Active citizenship organizations do exist and act autonomously
- In some cases they interact with governments
- They always have specific know-how (civic competence) on the issues they are engaged in



# Active citizens in policy making

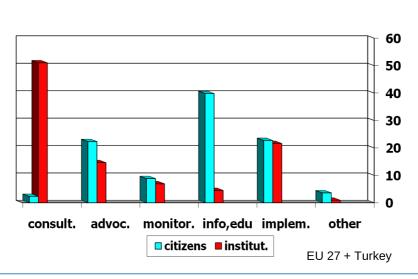


# Citizens in the policy making cycle

|                | ROLE OF CITIZENS  | OBSTACLES   | PRINCIPLES OF<br>GOVERNANCE                              |
|----------------|---|---|--|
| AGENDA         | - Identify problems<br>- Define priorities  | Inaccessibility of people<br>who decide<br>- Lack of attention to<br>citizens' points of view   | - Bilateral<br>communication                             |
| PLANNING       | - Identifying obstacles -<br>Identifying solutions<br>- Testing tools and<br>components of policies                             | - Lack of recognition of citizens' competence   | - Consultation with feedback                             |
| DECISION       | - Building consensus  | - Obsolete criteria of<br>representativeness<br>- Fear of citizens  | - Sharing (not<br>agreeing) decisions                    |
| IMPLEMENTATION | - Creating services,<br>monitoring situations,<br>mobilizing resources,<br>collecting good<br>practices,                        | - Lack of coordination<br>and/or competition<br>between citizens and<br>governments   | - Partnership (equality<br>and full responsibility)      |
| EVALUATION     | - Social/civic auditing<br>- Stakeholder dialogue<br>- Use of the results of<br>projects & actions as<br>sources of information | Results of citizens' actions not taken into account Citizens considered able only to give opinions, not information Evaluating outputs and not outcomes | - Common evaluation<br>and re-engineering of<br>policies |

## Divergent visions and practices

(civic organizations' actions and institutions' expectations)





# What happens when they are involved? (Key-persons of EU 27 + Turkey)

- Lack of public **funds** 51,4%
- Lack of recognition of civic organizations as relevant actors 40,9%
- Difficult identification and access to public officials 37,1%
- Distrust, reluctance, lack of equality in relations 33,3%
- **Insufficient** and incomplete **regulation** 23,8%
- Governments' attempt to "organize" and control civic organizations 20%
- Poor communication and coordination 20%
- Fear of civic organizations as trouble makers and influencing elections 19%
- Lack of transparency and information on laws, programs and public decisions 18,1%
- Consultations take place when decisions are already taken, organizations' opinions are not taken into consideration, joint decisions are not implemented, the government ignores the answers to its questions – 18,1%



# Some remarks

- To relate with citizens' organizations is a complex matter for public administrations
- Common wisdom is not sufficient
- A good interaction/cooperation with citizens' organizations is a matter of effectiveness and public trust for governments
- Usually if citizens are not managed as a resource become a problem



# The Civic Evaluation Italian project



#### What is Civic Evaluation



# Background

- 2001: Amendment to the Italian Constitution introducing the principle of "circular" subsidiarity: public institutions favor the autonomous initiatives of citizens, as individuals and organizations, aimed at caring for general interests
- At the core of the amendment:
  - Recognition of the constitutional rank of citizens' autonomous initiative in the public realm
  - Giving value to the citizens' action and not to their form, legal status etc.
  - Cooperation between them and public institutions on an equal footing, going beyond traditional, institution-centered, practices
- 2006: Partnership agreement between the Ministry of Public Administration and Cittadinanzattiva movement
- Aim: using the experience of Civic Audit to set up and promote a methodology for Civic Evaluation, broader and of easier feasibility



#### The Civic Audit

- Set up and started in 2001 by Cittadinanzattiva.
- A methodology based on the ability of citizens to produce information on relevant issues (civic information)
- Groups of citizens, in agreement with public administrations use a shared set of parameters and indicators to verify quality factors through direct observation and interviews with key-persons
- Corrective actions are the outputs of the process and their implementation is verified afterwards.
- Implemented in about 170 local health agencies and recognized as an official evaluation tool by the Ministry of Health and several Regions.
- 65% of proposed improvement actions implemented or ongoing
- Used to monitor the state of Patients' Rights in Europe (2004-2007) by Active Citizenship Network



### The essentials

- Civic Evaluation: a comparative action-research implemented by the citizens to assert their rights through motivated judgéments on general interest situations/services etc.
- Main features:
  - Citizens gather first- or second-degree data, through direct observation, interviews with key-persons and other sources Produce **information** from data and consultation of existing

  - databases, official documents etc.
    Issue a judgement on the situation and propose improvement
- It can be promoted by a citizens' organization or by a public administration or by both; but is managed by the citizens' organization
- Individual citizens are engaged in deliberation and monitoring



# The process

- Starting → Citizens'org and/or Public administration
- Choosing the topic/place etc. → Citizens' deliberative process
- Recruiting and training "monitor" citizens → Citizens' organization
- Gathering of data → Monitor citizens with the support of citizens' organization
- Setting up & reporting and scoring information → Monitor citizens & Citizens' organization
- Evaluating & proposing improvement measures → Citizens' deliberative process
- *Using results* → Public administration
- Veryfing the implementation of suggested measures → Citizens



The Urban quality monitoring and evaluation



# The experiment

- It is ongoing in 16 towns of 4 Southern Regions
- Topic chosen: urban quality (public transportation, road maintainance, public spaces such as gardens and squares, safety etc.). The topic reflects citizens' point of view and not administrative competences
- A central working group identified a common set of indicators in order to assure the comparability of results (the indicators are prioritized and enriched by the affected citizens at local level)
- Local branches of Cittadinanzattiva manage and facilitate the process in cooperation with town administrations



# The structure

#### 10 Components

(safety, connectivity, public maintenance, waste management, ...)

#### 27 Dimensions

(e.g. for Connectivity: Availability of public transportations, Private vehicle traffic; Access to pedestrians)

39 Indicators



# The choice of the monitoring space





# Innovative elements of Civic Evaluation

- Beyond customer satisfaction (expressing opinions vs. producing information and delivering judgements)
- Beyond ordinary consultation procedures (decision on local priorities; opportunity of volunteering for the auditing process; delivery of judgements and recommendations that local authorities are engaged in taking into account)
- Strengthening the evaluation phase of policy making
- Leading role of citizens in the process
- Inclusion both of individuals and organizations with different but consistent roles
- A real partnership between public administrations and active citizenship (sharing resources and risks to reach an objective that no one could reach by alone)
- · For public administrations: a good use of citizens



# For further information and contact

- g.moro@fondaca.org
- www.fondaca.org
- www.giovannimoro.info

